

Little Rock Trade and Investment Ltd.

Admin. Office: 9/14, (First Floor) East Patel Nagar, New Delhi – 110008

Email: satishagarwal307@yahoo.com

Ph: +911125769111 (Multiple Lines)

CIN: L67120WB1981PLC033628

The Secretary
Kolkata Stock Exchange Ltd
7- Lyons Range
Kolkata – 700001

Date: 03/10/2015

Ref Company No = 22055

Sub: Statement showing shareholding pattern as on quarter ending 30.09.2015

Please find herewith the shareholding pattern and voting rights for the quarter ending 30.09.2015 in accordance to clause 35 the listing agreement.

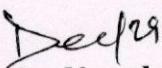
Please acknowledge.

Thanking you

Yours faithfully

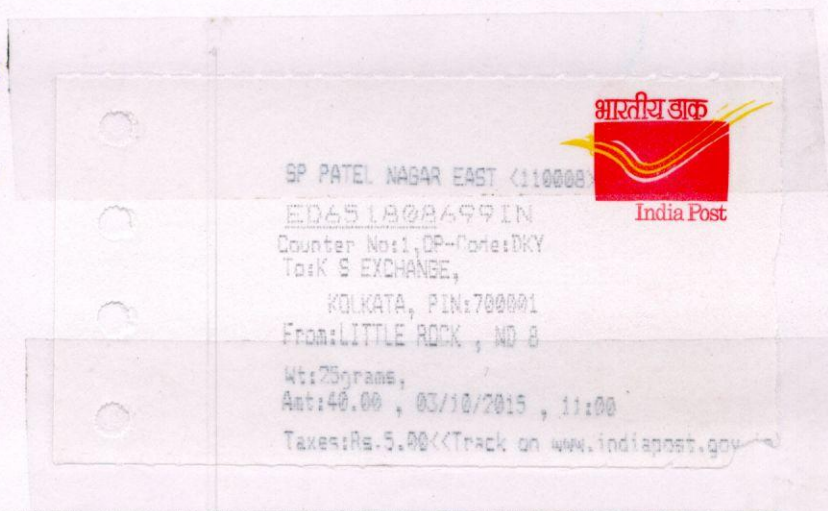
For Little Rock Trade and
Investment Ltd.

For Little Rock Trade And Investment Ltd.


(Deepa Nanda) Director/Authorised Signatory

Director

Encl: Share Holding Pattern



Regd. Office: 11C, Ram Mohan Dutta Road, Ground Floor, Kolkata - 700020

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The existing Clause 35 of Equity Listing Agreement shall be substituted by the following, namely :-

Annexure -A

35. The Company agree to file the following details with the Exchange on a quarterly basis, with in 21 days from the end of each quarter, in the format specified as under:

Name of the Company **LITTLE ROCK TRADE & INVESTMENT LTD.**

(1) (a) Statement Showing Shareholding Pattern

Script Code:

Partly paid-up shares:-		No. of partly paid-up shares	As a % of total no. of partly paid-up Shares	Quarter ended : 30 th September, 2015 As a % of total no. of shares of the company
Held by promoter / promoter group		NIL	NIL	NIL
Held by public		NIL	NIL	NIL
Total		NIL	NIL	NIL
Outstanding convertible securities:-		No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter / promoter group		NIL	NIL	NIL
Held by public		NIL	NIL	NIL
Total		NIL	NIL	NIL
Warrants:-		No. of warrants	As a % of total no. of warrants	As a % of total no. Of shares of the company, assuming full conversion of Warrants
Held by promoter / promoter group		NIL	NIL	NIL
Held by public		NIL	NIL	NIL
Total		NIL	NIL	NIL
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities				

Category Code	Category of	Number of Shareholders	Total No. Shares	Number of Shares held in demate realized from	Total Shareholding sa a percentage of shares		Shares Pledges or otherwise encumbered	
					As a %age of (A+B)	As a %age of (A+B+C)	Number of Shares	As a %age (IX) = (VIII) / (IV) * 100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family							
(b)	Central Government/ State Government(s)							
(c)	Bodies Corporate							
(d)	Financial Institutions/ Banks	3	1135000		90.87	90.87		
(e)	Any Others(Specify)							
	Sub Total(A)(1)	3	1135000		90.87	90.87		
2	Foreign							
a	Individuals (Non-Residents Individuals/Foreign Individuals)							
b	Bodies Corporate							
c	Institutions							
d	Any Others(Specify)							
	Sub Total(A)(2)							
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	3	1135000		90.87	90.87		
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI							
(b)	Financial Institutions / Banks							
(c)	Central Government/ State Government(s)							
(d)	Venture Capital Funds							
(e)	Insurance Companies							
(f)	Foreign Institutional Investors							
(g)	Foreign Venture Capital Investors							
(h)	Any Other (specify)							
	Sub-Total (B)(1)							
B 2	Non-institutions							
(a)	Bodies Corporate							
(b)	Individuals							
	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	29	7000		0.56	0.56		

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II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	4	107000	-	8.57	8.57		
(c)	Any Other (specify)							
	Sub-Total (B)(2)	33	114000	-	9.13	9.13		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	33	114000	-	9.13	9.13		
	TOTAL (A)+(B)	36	1249000	-	100	100		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group							
2	Public							
	Sub-Total (C)							
	GRAND TOTAL (A)+(B)+(C)	36	1249000	-	100	100		

a(1) (c) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Total Shares held No.		Shares Pledges or otherwise encumbered		
		Number	As a % of Grand total (A)+(B)+(C)	Number	As a % of grand	As a % total (A)+(B)+(C) of sub clause (a) (a)
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)+100	(VII)
1	Mansarover Commercial Pvt Ltd	446900	35.78	-	-	-
2	Pashupati Nath Commercial P. Ltd.	235500	18.85	-	-	-
3	Trishul Commercial Pvt Ltd	452600	36.24	-	-	-
Total		1135000	90.87	-	-	-

(2)(b)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

S.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Indian Public Less than 1% Share of the Company	7000	0.56	NIL	NIL	NIL	NIL	NIL
Total		7000	0.56					
2	Indian Public More than 1% Share of the Company							
	a. Sh Bimal Kumar Singh	33000	2.64					
	b. Munna Lal Shakhotal	22880	1.83					
	c. Nathwal Agarwal	18120	1.46					
	d. Suresh Kumar Doshi	33000	2.64					
Total		107000	8.57					
Total 1 & 2		114000	9.13					

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

S.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL

(I)(d) Statement showing details of locked-in shares

S.No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
NIL	NIL	NIL	NIL

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(I)(a) Statement showing details of Depository Receipts (DRs)

S.No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
NIL	NIL	NIL	NIL	NIL

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares

S.No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
NIL	NIL	NIL	NIL	NIL

(III)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

NA

Name of the Company **LITTLE ROCK TRADE & INVESTMENT LTD.**

Name of the Scrips:

Quarter ended: **30th September, 2015**

Category	category of shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III)+(IV+V)	As a % age of (A+B) (VII)	As a % age of (A+B+C) (VIII)
		Class X (III)	Class Y (IV)	Class Z (V)			
(I)	(II)						
(A)	Promoter and Promoter Group						
(I)	Indian						
	(a) Individuals/Hindu Undivided Family						
	(b) Central Government/state Governments (s)						
	(c) Bodies Corporate						
	(d) Financial Institutions/Banks						
	(e) Any other (specify) Sub-Total (A) (1)						
(II)	Foreign						
	(a) Individuals (Non-Resident Individuals/Foreign Individuals)						
	(b) Bodies Corporate						
	(c) Institutions						
	(d) Any other (Specify)						
	Sub total (A) (2)						
	Total Share holding of Promoter and Promoter Group (A)= (A) (1)+ (A) (2)						
(B)	Public Shareholding						
(I)	Institutions						
	(a) Mutual funds/UTI						
	(b) Financial Institutions/Banks						
	(c) Central Government/State Government(s)						
	(d) Venture Capital Funds						
	(e) Insurance Companies						
	(f) Foreign Institutional Investors						
	(g) Foreign Venture Capital Investors						
	(h) Any other (specify)						
	Sub Total (B)(1)						
(2)	Non-Institutions						
	(a) Bodies Corporate						
	(b) Individuals						
	i) Individual share holder holding nominal share capital upto Rs. 1 lakh						
	ii) Individual Shareholders holding nominal share capital in excess of Rs. 1 lakh						
	(c) Any other (Specify)						
	Sub Total (B)(2)						
	Total Public Shareholding (B)= (B)(1)+(B)(2)						
	Total (A)+(B)						
	(C) Share held by custodians and against which Depository Receipts have been issued						
	Grand Total (A)+(B)+(C)						

For Little Rock Trade And Investment Ltd.

Deeloo
Director/Authorised Signatory

Place : New Delhi
Dated: 03/10/2015